

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
30 May 2003 (30.05.2003)

PCT

(10) International Publication Number  
**WO 03/045074 A1**

(51) International Patent Classification<sup>7</sup>: **H04Q 3/00**

(21) International Application Number: **PCT/FI02/00932**

(22) International Filing Date:  
20 November 2002 (20.11.2002)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
20015039 22 November 2001 (22.11.2001) FI

(71) Applicant (for all designated States except US): **SONERA OYJ** [FI/FI]; Teollisuuskatu 15, FIN-00510 Helsinki (FI).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **KORHONEN, Jouni** [FI/FI]; Mutkatie 2 A 4, FIN-11100 Riihimäki (FI).

(74) Agent: **KOLSTER OY AB**; Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).

(81) Designated States (*national*): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KF, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SI, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

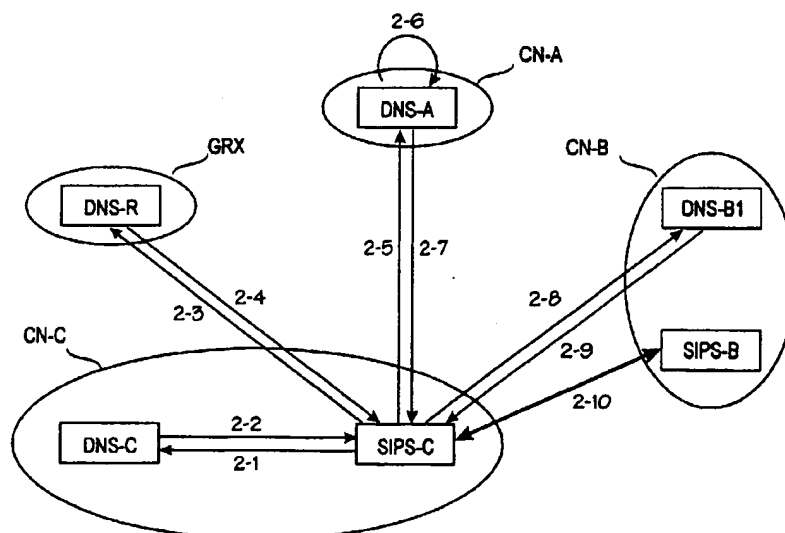
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,

[Continued on next page]

(54) Title: **PORTABILITY OF A SUBSCRIBER ID**



(57) Abstract: A name server (DNS-A) of a first telecommunications network (CN-A) receives a query (2-5) containing or expressing a first form (e.g. E.164) of a subscriber ID, and replies to the query by informing a subscriber ID of a second form (e.g. SIP-URL) (2-7). The name server (DNS-A) detects that the subscriber ID relates to the subscriber who has been a subscriber of the first network (CN-A) but has become the subscriber of a second network (CN-B), and that the query was sent from a third telecommunications network (CN-C). The name server (DNS-A) starts a process which results in at least the domain address of the second network (CN-B) being transmitted to the sender (DNS-C, SIPS-C) of the query. In this way, traffic (2-10) can be transmitted directly between the third telecommunications network (CN-C) and the second telecommunications network (CN-B).

WO 03/045074 A1



CII, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)  
of inventorship (Rule 4.17(iv)) for US only

**Published:**

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

**PORTABILITY OF A SUBSCRIBER ID****BACKGROUND OF THE INVENTION**

[0001] The invention relates to portability of subscriber IDs when a subscriber changes operators. To give a concrete example, the invention is presented in a situation where the subscriber's telephone number is of the form E.164. Another possible form is E.212. So called Enum-DNS name servers are known in the field, "Enum" being an abbreviation meaning a number of the form E.164 or E.212, and DNS being a domain name server. The most important abbreviations are listed at the end of the specification. Enum-DNS name servers are used to map E.164/212 numbers to names of the form SIP or FQDN. Authorities controlling telecommunications networks will require portability of subscriber IDs (numbers etc.) to enable competition between operators in such a way that subscribers can keep their established telephone numbers. Important environments where the invention could be utilized include the MMS (Multimedia Messaging) environment of the 3GPP, other operation relating to subscriber ID routing within the 3GPP architecture and a core network between mobile operators, i.e. an interconnection network, for example GRX (GPRS Roaming eXchange).

[0002] The problem behind the invention is as follows. Let us assume that subscriber X has originally been operator A's client and received his/her telephone number (MSISDN) from the operator A's number space. Later, subscriber X changes operators and becomes operator B's client but wishes to keep the original telephone number. Now, however, Enum-DNS routes subscriber X's DNS queries according to the prefix of the E.164 number to operator A's name server (assuming that some kind of hierarchy is used in the DNS), although X is operator B's client. Operator A should be able to command the name server serving X's terminal to communicate with operator B's Enum-DNS server.

[0003] In other words, the problem behind the invention is how to route the Enum-DNS queries to the correct operator, although the subscriber has changed operators and his/her telephone number has remained unchanged.

**BRIEF DESCRIPTION OF THE INVENTION**

[0004] An object of the invention is thus to provide a technique for mapping the subscriber ID relating to a subscriber from a first form (e.g.

E.164/212) to a second form (SIP, HTTP, e-mail, URL, NAI, FQDN etc.) when the subscriber ID in the first form has been allocated from the ID space of a first network, the subscriber being a subscriber of a second network and roaming in a third network. Each network comprises at least one name server, and the operators' networks are connected by a core network having a root server.

**[0005]** The object of the invention is achieved with a method and a system that are characterized in what is stated in the independent claims. Preferred embodiments of the invention are described in the dependent claims.

**[0006]** The invention can be implemented in the following way, for instance. The name server of the third network, i.e. the visited network, receives a query concerning a second form of a subscriber ID, containing or expressing the first form of the subscriber ID. The name server of the third network sends the query concerning the second form of the subscriber ID to the root server. The root server deduces the name server of the first network from the first form of the subscriber ID and starts a process which results in the query concerning the second form of the subscriber ID being transmitted to the name server of the first network. The name server of the first network starts a process which results in at least the domain address of the second network being transmitted to the name server of the third network. The name server of the third network sends a query to the name server of the second network on the basis of the domain address of the second network and receives a subscriber identity of the second form from the name server of the second network.

**[0007]** In the above example, the query was started from the name server of the network that had been visited, but it can also be sent by another type of server in the visited network.

**[0008]** The expression "Y starts a process which results in --- being transmitted to W" used twice above can, at its simplest, mean "Y sends --- to W", but the data to be sent can also be transferred indirectly, for example through the core network or as a result of a recursive query, whereby Y sends a query to the first name server, which sends the query to the next name server, and the name server that can reply to the query replies to Y.

**[0009]** The invention enables distributed Enum-DNS-based resolving of subscriber IDs, such as E.164 numbers, without centralized data warehouses or changes in the DNS data elsewhere. There is no need to make

any changes in the core network between the operators or in the root server. Functional changes are confined to operators' own name servers that must be able to support the functionality according to the invention in a situation where the subscriber has changed operators. The subscriber data must naturally be  
5 updated in the databases of the subscriber's new and old operator. Generally speaking, the invention enables number portability in the MMS and UMTS environments utilizing name service of the Enum-DNS type.

#### BRIEF DESCRIPTION OF THE FIGURES

[0010] The invention will now be described in greater detail in  
10 connection with preferred embodiments, with reference to the attached drawings, of which

[0011] Figure 1 is a system and signalling diagram illustrating an embodiment of the invention; and

[0012] Figure 2 is a signalling diagram comprising, in addition to  
15 name servers, also two SIP servers.

#### DETAILED DESCRIPTION OF THE INVENTION

[0013] In the following, embodiments of the invention are explained using protocols and techniques described in the following documents as examples:

- 20 - Enum-DNS (RFC2916)  
- NAPTR DNS Resource Record (RR) (RFC2915)  
- SRV Resource Record (RFC2782).

[0014] In accordance with a preferred embodiment of the invention, two new "Specification of Service" specifiers and one "Protocol" specification  
25 are defined for the NAPTR. It is to be noted that the NAPTR examples presented below are only illustrative, in other words not limiting examples, because the names of the specifiers and specifications may change as the standardization changes.

#### [0015]

30 NAPTR protocol (Naming Authority Pointer):

- ns: Name Server – DNS returns an unambiguous domain name which should be queried about name servers responsible for the particular domain.

#### [0016]

NAPTR Service Specifications:

- 35 - E2R: E.164 to Resource – DNS returns a name in the SRV form, which name

can be queried about name servers responsible for the E.164 number.

- E2D: E 164 to Domain – DNS returns a unambiguous domain name, which can be queried about name servers responsible for the E.164 number.

**[0017]**

5 NAPTR examples:

**[0018]** The first example is from the NAPTR RR, which utilizes service E2R:

\$ORIGIN 7.6.5.4.3.2.1.0.4.8.5.3.e164.arpa						
::	Order	Pref	Flag	Service	Regexp	Replacement
IN NAPTR	100	50	"s"	"ns+E2R"	""	_ns.users.current.domain

10 **[0019]** When being queried about data for the number +358401234567, the DNS returns a name of the form SRV RR by means of which the querying name server can make a new DNS query of the SRV form to the user's correct (present) operator and query about name servers of the particular operator. It is assumed here that the SVR RR of the DNS also  
 15 understands the "ns" protocol specifier.

**[0020]** The second example is from the NAPTR RR, which utilizes service E2D.

\$ORIGIN 7.6.5.4.3.2.1.0.4.8.5.3.e164.arpa						
::	Order	Pref	Flag	Service	Regexp	Replacement
IN NAPTR	100	50	"p"	"ns+E2D"	""	users.current.domain

20 **[0021]** When being queried about data for the number +358401234567, the DNS returns a name by means of which the querying name server can make a new DNS query to the user's correct (present) operator and query about name servers of the particular operator. It is assumed here that the "ns" protocol specifier is known and can be utilized by  
 25 the DNS.

**[0022]** Also means other than DNS queries between operations can be used for local number transfers. The following is an example of utilizing the LDAP. A lower priority has been given to the LDAP, compared with a DNS query, but a local name server can be commanded to give a priority to the  
 30 LDAP and not to the DNS. For example:

\$ORIGIN 7.6.5.4.3.2.1.0.4.8.5.3.e164.arpa						
::	Order	Pref	Flag	Service	Regexp	Replacement
IN NAPTR	10	50	"p"	"ns+E2D"	""	users.current.domain
IN NAPTR	100	50	"u"	"ldap+E2U"	""	ldap://ldap.foo.com

[0023] The DNS returns the LDAP address, and a new query can be made to the address to resolve the number.

5 [0024] Figure 1 shows a concrete example of resolving an E.164 number and of the portability of the number in connection with roaming. Figure 1 shows a series of events in which subscriber X (whose MSISDN number is +358401234567) is originally operator A's (domain = a.com) client, so that X has a telephone number allocated from operator A's number space. Subscriber  
 10 X has become operator B's (domain = b.com) client, but X's telephone number is still from the number space owned by operator A. In the case of Figure 1, subscriber X is roaming in operator C's (domain = c.com) network.

[0025] In step 1-1, operator C's name server DNS-C attempts to resolve subscriber X's SIP-URL with an Enum-DNS query, the SIP-URL being  
 15 expressed as an E.164 number. Since the DNS-C does not know subscriber X's number, it routes the query to the root server DNS-R of the Interconnection network GRX. (Technically this takes place in the same way as the routing of the query to an ordinary name server.) The root server DNS-R identifies that X's number belongs to operator A on the basis of the prefix of the E.164  
 20 number. In other words, the number has been allocated from operator A's number space. Figure 1 is simplified in the sense that in reality there may be continent-specific, state-specific and operator-specific hierarchy levels between the root server and the operator's server, due to which the hierarchy of the name servers may be more complicated than what is shown in Figure 1.  
 25 Figure 1 is, however, sufficient to illustrate principled operation of the invention.

[0026] In step 1-2, the root server DNS-R routes the DNS query to subscriber X's original operator A, because X's telephone number belongs to operator A's number space. In step 1-3, operator A's name server DNS-A observes from the NAPTR RR (see the above examples of NAPTR) that X is  
 30 no longer A's client, but X's name service is attended to by another operator. The NAPTR returns either

[0027] a. \_ns.b.com, whereby next an SRV name query is performed to b.com (flag == 's'), or

[0028] b. b.com, whereby next a name query is performed about name servers to b.com (flag == 'p').

5 [0029] In step 1-4, if no recursion is allowed in connection with the DNS query, the root server DNS-R returns the replies received in step 3 to C's name server DNS-C.

[0030] Steps 1-4A and 1-4B are performed only if recursion is allowed. In step 1-4A, the root server DNS-R queries B's (master) name server  
10 (here DNS-B1) about B's name servers, B's (master) name server being known to the root server DNS-R. In step 1-4B, the name server DNS-B1 returns a list of operator B's name servers to the root server. After this, the root server DNS-R returns a reply to C's name server DNS-C in step 1-4, but the DNS reply is now in a slightly different form.

15 [0031] In step 1-5, if the DNS-C now knows the name server addresses (DNS-B1 etc.) responsible for subscriber X's E.164 number, the DNS-C sends a query to one of B's name servers and receives from it the SIP-url it has requested.

[0032] In step 1-5A, if recursion is not allowed, C's name server  
20 DNS-C must still resolve b.com's name servers. In other words, the DNS-C queries about b.com's name servers. The DNS queries may again be transmitted through the root network DNS-R of the Interconnection network GRX.

[0033] In step 1-5B, one of b.com's name servers (or another name  
25 server on the path from C to B, which knows B's name servers), returns a list of b.com's name servers to C's name server DNS-C. After this, the operation continues in accordance with steps 1-5 and 1-6.

[0034] In step 1-6, B's name server DNS-B resolves subscriber X's  
30 E.164 number and returns the SIP-url or the like. After this, all name queries from C to B proceed directly without passing through A until the name data stored by B expires.

[0035] Figure 2 shows a second chain of events, which contains not  
only name servers but also two SIP servers, namely an SIPS-C in the visited  
network C and an SIPS-B in the subscriber's present home network. In step 2-  
35 1, the SIPS-C sends a query about the subscriber's SIP-URL to the DNS-C. In step 2-2, the DNS-C returns the ns message presented previously in



connection with extensions of the NAPTR protocol, on the basis of which ns message the SIPS-C can direct the query to the route server DNS-R in step 2-3. In step 2-4, the DNS-R returns the ns message, on the basis of which the query is directed in step 2-5 to the name server DNS-A of the original network  
5 A. In step 2-6, the DNS-A observes that the subscriber is now operator B's client, and in step 2-7 it returns the extension SRV RR or NS of the NAPTR protocol presented previously, on the basis of which the SIPS-C knows the domain address of the subscriber's present operator. On the basis of this information, the SIPS-C queries the DNS-B1 in step 2-8 about the  
10 corresponding SIP server SIPS-B in operator B's network. In step 2-9, the DNS-B1 returns the SIPS-B's IP address (or SRV or NS message). On the basis of this information, traffic of the form TCP or UDP can, in step 2-10, be started between the SIP servers SIPS-C and SIPS-B.

[0036] An essential idea of the invention is that the name server of  
15 the operator owning the original number (in Figure 1 operator A) can transmit the name queries further to the new operator's name servers. There is no need to make any changes in the DNS hierarchy itself, and the name queries always find their way to the subscriber's new operator.

[0037] In practice, the name servers keep the queries and their  
20 results in the cache, so that a complete query shown in Figure 1 is made only once, after which the next queries concerning the same E.164 number are replied by the closest name server until the name data stored in the cache expires (i.e. the lifetime defined for the data terminates). The expiration of the name data is determined by the name server in which the original data has  
25 been stored.

[0038] Although the connections in Figure 2 are drawn directly between the servers, in practice all traffic always travels through the core network.

[0039] Depending on whether the recursion is allowed or not, the  
30 replies of the intermediate steps to the DNS queries may always be returned to the name server that has made the original query. In other words, if no recursion is allowed, the name server DNS-C of operator C of Figure 1 always receives a reply from each intermediate step and makes thus as many new DNS queries as is required to find B's name servers. If, on the other hand,  
35 recursion is allowed, operator C makes in Figure 1 one DNS query and receives directly operator B's all name server addresses as a reply.

[0040] The above description contains some embodiments of the invention, but the invention is not confined to them. For instance, the first form of the subscriber ID may be E.212 instead of E.164. Correspondingly, the second form of the subscriber ID may be SIP, FQDN, e-mail, URL, URI, NAI, or any other unambiguous domain name. Otherwise as well, it is obvious to a person skilled in the art that as the technology advances, the basic idea of the invention can be implemented in a plurality of ways. The invention and its embodiments are thus not limited to the above examples but can vary within the scope of the claims.

10 [0041]

Abbreviations:

3GPP = Third Generation Partnership Project

ARPA = Address and Routing Parameters Area

DNS = domain name server/service

15 Enum = E.164 (E.212) number and DNS

FQDN = Fully Qualified Domain Name

GRX = GPRS Roaming Exchange

LDAP = Light Directory Access Protocol

NAPTR = Naming Authority Pointer

20 SIP = Session Initiation Protocol

SRV RR = Service Resource Record

URI, URL = Uniform Resource Identifier/Locator

## CLAIMS

1. A method for mapping a subscriber ID relating to a subscriber (X) from a first form to a second form when the subscriber ID in the first form has been allocated from the ID space of a first network (A) and the subscriber is a  
5 subscriber of a second network (B) and roaming in a third network (C); and when each network comprises at least one name server (DNS-A ... DNS-C) and the operators' networks are connected by a core network (GRX) having a root server (DNS-R);  
characterized by  
10 the server (DNS-C, SIPS-C) of the third network receiving a query concerning the second form of the subscriber ID, and the query containing or expressing the first form of the subscriber ID;  
the server of the third network sending a query concerning the second form of the subscriber ID to the root server (DNS-R);  
15 the root server (DNS-R) deducing the name server (DNS-A) of the first network from the first form of the subscriber ID and starting a process which results in the query concerning the second form of the subscriber ID being transmitted to the name server (DNS-A) of the first network;  
the name server (DNS-A) of the first network starting a process  
20 which results in at least the domain address of the second network being transmitted to the name server (DNS-C) of the third network;  
the name server (DNS-C) of the third network sending a query to the name server (DNS-B) of the second network on the basis of the domain address of the second network and receiving a subscriber ID of the second  
25 form from the name server of the second network.
2. A method according to claim 1, characterized by the first form of the subscriber ID being E.164 or E.212.
3. A method according to claim 1 and 2, characterized by the second form of the subscriber ID being SIP-URL.
- 30 4. A name server (DNS-A) of a first telecommunications network (CN-A), arranged to receive a query (1-2; 2-5) which contains or expresses a first form of a subscriber ID, and to reply to the query by informing a subscriber ID of a second form (1-3; 2-7);  
characterized in that the name server is arranged

- to detect whether the subscriber ID relates to a subscriber who has been the subscriber of the first telecommunications network (CN-A) but has become a subscriber of a second telecommunications network (CN-B), and that the query was sent from a third telecommunications network (CN-C); and
- 5       - as a response to the query and the detection to start a process which results in at least the domain address of the second network (CN-B) being transmitted to the sender (DNS-C, SIPS-C) of the query;
- whereby traffic (2-10) can be transmitted directly between the third
- 10   telecommunications network (CN-C) and the second telecommunications network (CN-B).

1/1

Fig. 1

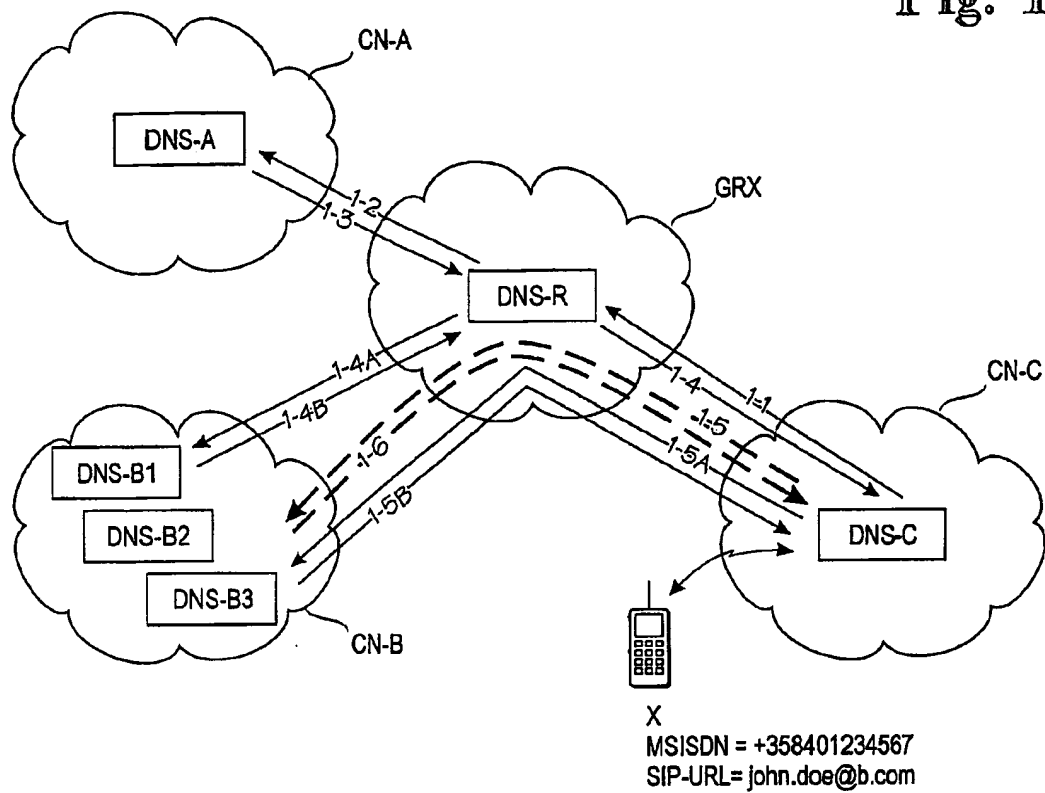
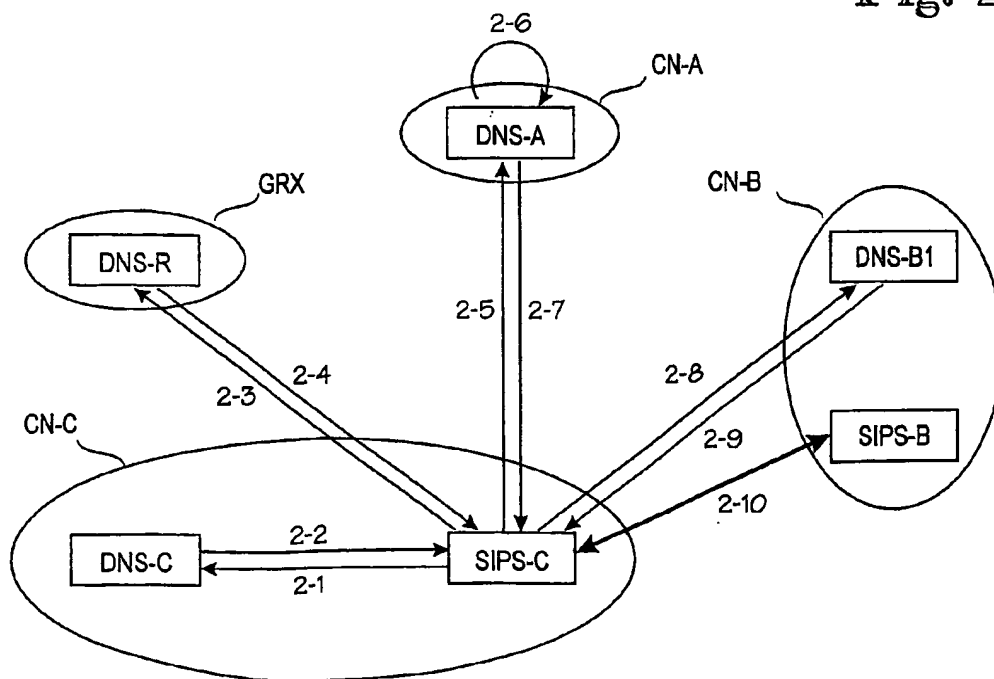


Fig. 2



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 02/00932

## A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04Q 3/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	EP 0915626 A1 (TELEFONAKTIEBOLAGET LM ERICSSON (PUBL)), 12 May 1999 (12.05.99), column 2, line 20 - column 6, line 21, figure 2 --	1-4
A	EP 0936822 A2 (ALCATEL), 18 August 1999 (18.08.99), abstract --	1-4
A	US 4933967 A (STELLA Y. LO ET AL), 12 June 1990 (12.06.90), column 2, line 48 - column 4, line 13 -- -----	1-4

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

18 February 2003

Date of mailing of the international search report

18-02-2003

Name and mailing address of the ISA/  
Swedish Patent Office  
Box 5055, S-102 42 STOCKHOLM  
Facsimile No. +46 8 666 02 86

Authorized officer

Stefan Hansson/mj  
Telephone No. +46 8 782 25 00

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

30/12/02

International application No.

PCT/FI 02/00932

Patent document cited in search report			Publication date	Patent family member(s)		Publication date
EP	0915626	A1	12/05/99	AU	1669299 A	31/05/99
				WO	9925129 A	20/05/99
-----						
EP	0936822	A2	18/08/99	DE	19805686 A	19/08/99
-----						
US	4933967	A	12/06/90	CA	2013379 A,C	01/12/90
				JP	2760635 B	04/06/98
				JP	3023752 A	31/01/91
-----						